

Andrea G. B. Tettamanzi

Université de Nice Sophia Antipolis Département Informatique andrea.tettamanzi@unice.fr

Lecture 6, Part b

Theoretical Models

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Introduction

- Fundamental problems:
	- Primary: establishing the equivalence of programs
	- Secondary: proving other interesting properties
- A **model** provides an abstract view in which the "irrelevant" details are ignored in establishing the equivalence of systems
- A **denotational model** is one in which the meaning of a system can be derived from its constituent parts (compositionality)
- For sequential programming, computer scientists have been successful in building denotational models of programs which abstract away the *operational* details
- For concurrent programming, it is harder to come up with such models, mainly due to interleaving

Petri Nets

- Introduced by Carl Adam Petri
- A mathematical modeling language for the description of distributed systems
- A bipartite graph consisting of places, transitions, and arcs
- Places contain a discrete number of tokens.
- A distribution of tokens over the places is called a marking.
- A transition may fire whenever its input places contain sufficient tokens.
- Firing is atomic. Upon firing, a transition
	- consumes tokens in its input places
	- places tokens in its output places.
- Execution of Petri nets is nondeterministic

Petri Nets: Typical Interpretations

Petri Net: Formal Definition

- A Petri net is a 5-tuple PN = (P, T, F, W, M_0) where:
	- $-$ P = {p₁, p₂, ..., p_m} is a finite set of places,
	- $\Gamma = \{t_{1}, t_{2}, ..., t_{m}\}$ is a finite set of transitions,
	- $-$ F \subseteq (P \times T) \cup (T \times P) is a set of arcs (flow relation),
	- W : $F \rightarrow \{1, 2, 3, \ldots\}$ is a weigh function,
	- $-$ M₀ : P \rightarrow {0, 1, 2, 3, ...} is the initial marking,

 $-$ P \cap T = \emptyset and P \cup T \neq \emptyset .

- A Petri net structure $N = (P, T, F, W)$ without any specific initial marking is denoted by N
- A Petri net structure N with marking M is denoted (N, M)

Petri Nets: Behavioral Properties

- Reachability: M is reachable iff $\exists \sigma : M_{\substack{0 \end{bmatrix}} [\sigma > M]$
	- $\,$ R(M $_{\rm{o}}$) is the set of reachable markings
	- Reachability problem: decidable, but EXPSPACE
- Boundedness: no. of tokens in each place is bounded for any reachable marking; k-bounded: $\leq k$; 1-bounded = safe
- Liveness: at any marking M, any transition may eventually fire – A transition is Lk-live if it may fire in k time steps (weaker)
- Reversibility: from any M it is possible to reach a home state M'
- Synchronic Distance between two transitions:

 $d(t_{1}^{\prime},t_{2}^{\prime}) = max_{\sigma} |N_{\sigma}(t_{1}^{\prime}) - N_{\sigma}(t_{2}^{\prime})|$, where $N_{\sigma}(t) =$ firings of t in σ

Actor Model

- A mathematical model of concurrent computation
- Proposed by Carl Hewitt in 1973
- Studied by Gul Agha in his PhD Thesis at MIT (1985)
- Actors are the universal primitives of parallel computation
- Actors = Processes
- Actors exchange messages asynchronously and create other actors
- Abstract actor machine with a minimal programming language
- To send a communication, an actor specifies the target
- Communications are buffered and eventually delivered
- Denotational semantics based on transitions

Simple Actor Language (SAL)

- \blacktriangle <behavior definition> ::= def
beh name> (<acquaintance list>) [<<or>communication list>] <command>* end def
- <parameter list> ::= {id | <var list> } | {, id | , <var list> } | ε
- <var list> ::= case <tag field> of <variant>+ end case
- <variant> ::= <label> : <parameter list>
- <command> ::= if <condition> then <command> { else <command> } fi | become <expression> | send <msg> to <target> | <let bindings> "{" <command> "}" | <behavior definition> | <command>*

Denotational Semantics (1)

- Tasks: communications which are still pending (not yet accepted) task = (tag, target, msg = [value₁, value₂, …, value_n])
- Local states function l : target \rightarrow behavior
- **configuration** of an actor system: c = (local states fn, tasks)
- Behavior : msg \rightarrow (new tasks, new actors, replacement behavior)
- Actor = (mail address $(=$ to be used as target), behavior)
- The behavior of an actor whose mail address is m is a function (tag, m, msg) \rightarrow (set of tasks, set of actors, replacement actor)
- Depending on the incoming communication (tag, m, [v_1 , ..., v_n]), send communications to specified targets (1) creating new actors and (2) specifying a replacement actor machine

Denotational Semantics (2)

Actor Model

- The Actor Model provides solution to three central problems in distributed computing:
	- Divergence (= infinite loops), thanks to the "guarantee of mail delivery"
	- Deadlock (cf. "the five dining philosophers")
		- No syntactic (= low-level) deadlock possible
		- Semantic deadlocks are possible, but may be detected
		- Solve detected deadlock by negotiation
	- Mutual exclusion: not really a problem for actors
		- An actor can be "accessed" only by sending it some mail
		- An actor accepts just one mail and specifies a replacement that will accept the next mail in queue

Trace Theory

- Finite automata are a convenient model of sequential programs
- Automata admit powerful analysis tools
	- Structural properties: underlying graph-like model
	- Behavioral properties: formal language theory
- Basic idea: use well-developed tools from formal language theory for the analysis of concurrent systems
- A concurrent system is understood as in the theory of Petri nets
- The algebra of dependency graphs (such as Petri nets) is isomorphic to that of trace monoids
- Alternative to concurrency as interleaving non-determinism
- First formulated by Antoni Mazurkiewicz in the 1970s

Traces

- Loosely speaking, a trace is an equivalence class of strings which differ only in the ordering of adjacent independent symbols
- Dependency relation D: if a D b, then b D a and a D a; a ≡_D b iff ¬(a D b): symbols a and b are **independent**
- The set Σ^* of strings on alphabet Σ is a monoid w.r.t. the operation ∙ of concatenation
- The trace monoid M(D) is the quotient monoid Σ^*_{D} / \equiv_{D} .
- Given a string w, $\left[\mathsf{w}\right]_{\mathsf{D}}$ is the trace represented by string w
- A dependency graph G(D) ia graphical representations of dependency relation D. G(D) is isomorphic to M(D).

Histories

- Given n processes, each with its own alphabet Σ .
- An elementary history π(a) is an n-tuple consisting of one-symbol strings a in positions where $a \in \Sigma_{_\text{i}}$, the empty string ε elsewhere
- A history is a concatenation of elementary histories
- The monoid of histories $H(\Sigma_1, \Sigma_2, ..., \Sigma_n)$ is isomorphic with the monoid of traces over dependency $\Sigma _1^{2}\cup \Sigma _2^{2}\cup ... \cup \Sigma _n^{2}$ 2 .
- An ordering of events may be established given a history

Trace Languages

- Trace language over D: any set of traces over D
- Trace projection of trace t onto dependency C: $π_C(t)$
- The synchronization of string language L_1 over $\mathsf{\Sigma}_1$ with the string language $\mathsf{L}_{_2}$ over $\mathsf{\Sigma}_{_2}$ is defined as $(\mathsf{L}_{_1}\mathbin\Vert\mathsf{L}_{_2})$ over $(\mathsf{\Sigma}_{_1}\mathbin\Vert\mathsf{L}_{_2}),$ such that $w \in (L_{1} \parallel L_{2})$ iff $\mathsf{n}_{\Sigma1}(w) \in L_{1}$ and $\mathsf{n}_{\Sigma2}(w) \in L_{2}$.

TLA+ Logic

- Temporal Logic of Actions, developed by Leslie Lamport
- TLA+ combines temporal logic with a logic of actions
- TLA+ formulas describe the behavior of a system
- Temporal aspect: primed and non-primed variables:
	- Non primed, x, means "the current value of x "
	- Primed, x', means "the value of x at the next step"
- Action: a Boolean formula containing constants, variables, and primed variables
- State function: an expression containing constants and non-primed variables only
- Action A is enabled in state s iff there exists a state t such that (old-state s, new state t) satisfies A

TLA Syntax

- P: satisfied iff true for the initial state
- $[A]$: satisfied iff every step satisfies A or leaves f unchanged
- \Box F: satisfied if F is always true
- WF_f(A): weak fairness of A: if A \land (f' \neq f) ever becomes enabled and remains enabled forever, then infinitely many A \wedge (f' \neq f) steps occur
- SF_f(A): strong fairness of A: if A \land (f' \neq f) is enabled infinitely often, then infinitely many $A \wedge (f \neq f)$ steps occur
- $F \rightarrow^+ G$: G is true for at least as long as F is
- ◊F: F is eventually true, equivalent to ¬□¬F
- Andrea G. B. Tettamanzi. 2014 19 $\mathsf{F}\sim\mathsf{G}\colon\mathsf{F}\mathsf{\: leads\: to}\; \mathsf{G}\colon\mathsf{whenever}\;\mathsf{F}\text{, eventually}\;\mathsf{G}\colon\Box\mathsf{(F}\Rightarrow\Diamond\mathsf{G})\quad$

Process Calculi

- A process calculus or process algebra is a tool for the formal modeling of a concurrent system
- A process calculus comprises
	- tools for the high-level description of interactions, communications, and synchronizations between processes
	- algebraic laws that allow to manipulate descriptions and prove equivalences between processes
- Three very influential process calculi:
	- Calculus of Communicating Systems (CCS)
	- Communicating Sequential Processes (CSP)
	- π-Calculus

Calculus of Communicating Systems

- Introduced by Robin Milner around 1980
- Syntax: P ::= \emptyset | a.P₁ | A | P₁ + P₂ | P₁|P₂ | P₁[b/a] | P₁^{\a}
- \emptyset is the empty process
- Process a.P can perform action a and continue as P
- $A = P$ defines identifier A that refers to process P
- P_1 + P_2 is the non-deterministic choice between and P_1 and P_2
- P_{1} P_{2} means the two processes are executed concurrently
- P[b/a] is process P with all actions a replaced by b
- P\a is process P without action a

Communicating Sequential Processes

- Introduced by Sir C. A. R Hoare in 1978
- Originally designed as a concurrent programming language
- Then refined into an algebraic theory
- Used for specification and verification of concurrent systems
- Has enjoyed some success in industrial applications
- Focus on dependable and safety-critical systems
- CSP describes systems in terms of component processes that
	- Operate independently
	- Interact through message passing
- Processes may be defined both as sequential processes or as the parallel composition of more primitive processes

CSP Primitives

- Events: communications or interactions:
	- Atomic names (e.g., on, off)
	- Compound names (e.g., valve.open, valve.close)
	- $-$ Input events, ? = "reads" (e.g., mouse?xy)
	- Output events, ! = "writes" (e.g., terminal!message)
- Primitive processes, representing fundamental behaviors
	- STOP, the deadlock process
	- SKIP, successful termination

CSP Algebraic Operators

- $a \rightarrow P$ [prefix] Wait for event a, then proceed as P
- $(a \rightarrow P)\Box(b \rightarrow Q)$ [deterministic choice]
	- if event a then P, else, if event b then Q
- $(a \rightarrow P)\pi(b \rightarrow Q)$ [nondeterministic choice]
	- either $a \rightarrow P$ or $b \rightarrow Q$
- $\text{P} \parallel \mid \text{Q}$ [interleaving] P and Q in parallel with interleaving
- P|[X]|Q [interface parallel]
	- P and Q can proceed only after they both accept the same event in X
- P\X [hiding]
	- execute P after removing any occurrence of the events in X

CSP Syntax

- Proc ::= STOP | SKIP
	- $|e \rightarrow Proc$ (prefixing) | Proc □ Proc (external choice) | Proc π Proc (nondeterministic choice) | Proc ||| Proc (interleaving) | Proc |[X]| Proc (interface parallel) $|$ Proc \ X (hiding) | Proc ; Proc (sequential composition) | if b then Proc else Proc (Boolean conditional) | Proc ▷ Proc (timeout) | Proc \triangle Proc (interrupt)
		-

Semantics

- The CSP syntax may be given several different formal semantics
- Operational Semantics: meaning given in terms of operations
- Algebraic semantics
- Denotational semantics
	- Traces model, based on trace theory
	- Stable failures model
	- Failures/divergence model

π-Calculus

- May be regarded as a continuation of CCS
- Parallel counterpart of λ -calculus
- The syntax of π-calculus allows one to represent
	- parallel composition of processes,
	- synchronous communication between processes through channels,
	- creation of new channels,
	- replication of processes
	- nondeterminism.
- Process: an abstraction of an independent thread of control
- Channel: an abstraction of a communication link b/w processes

Syntax of π-Calculus

Let P and Q denote processes. Then

- P | Q denotes a process composed of P and Q running in parallel
- $a(x)$. P denotes a process that waits to read a value x from the channel a and then, having received it, behaves like P
- a<x>. P denotes a process that first waits to send the value x along the channel a and then, after x has been accepted by some input process, behaves like P
- (νa)P ensures that a is a new channel in P
- !P denotes an infinite number of copies of P, all running in parallel.
- $P + Q$ denotes a process that behaves like either P or Q
- 0 denotes the inert process that does nothing

π-Calculus Example

Client-server communication:

```
\frac{1}{\arccos 10} | (v res)(\frac{1}{\arccos 100} = 17> | res(y) )
```
Infinite copies of a server accept messages on a channel called "incr" containing a channel name a and a number x, then send on channel a the result of computing $x + 1$.

In parallel, a client creates a new channel called "res" and sends a message containing channel name "res" and 17 to the channel called "incr"; at the same time, it accepts messages containing the result, y, on channel "res"

Congruence

Structural congruence is the least equivalence relation preserved by the process constructs and satisfying:

- $P \equiv Q$, if Q can be obtained from P by renaming bound names
- $P | Q \equiv Q | P$
- $P + Q = Q + P$
- $(P | Q) | R \equiv P | (Q | R)$
- P $|0 \equiv P$
- $(vx)(vy)P \equiv (vy)(vx)P$
- $(v \times 0) = 0$
- !P ≡ P | !P
- $(vx)(P | Q) \equiv (vx)P | Q$, if x is not a free name in Q

Reduction Semantics

Reduction relation: $P \rightarrow P'$ means P can become P' after performing a computation step.

- \rightarrow is defined as the least relation closed under the rules:
- $a < x > P | a(y) \cdot Q \rightarrow P | Q[x/y]$
- If $P \rightarrow Q$, then also $P \mid R \rightarrow Q \mid R$
- If $P \rightarrow P'$ and $Q \rightarrow Q'$, then also $P + Q \rightarrow P'$ and $P + Q \rightarrow Q'$
- If $P \rightarrow Q$, then also $(vx)P \rightarrow (vx)Q$
- If $P \equiv P'$ and $P' \rightarrow Q'$ and $Q' \equiv Q$, then $P \rightarrow Q$

Thank you for your attention

